

# Learning at the Speed of Light: A New Type of Optical Neural Network

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# Learning at the Speed of Light

- Focus on the Fixed-Weight Learning Nets than details of opto-electronic hardware (in paper)
- The problem that we are addressing
- Overview of Optical Test Apparatus
- Fixed-Weight Learning Neural Networks
  - Theory
  - Creating and Training
- Experimental Results
  - uMULT
  - PlanTran
  - BooLean
- Future Work

# Optical Neural Networks

- Two main activities “Think” and “Learn”
- Forward Propagate: “Think”
  - Think  $\rightarrow$  Matrix Multiply followed by nonlinear “squash”

$$y_j = \sigma_j \left( \sum_i W_{ji} \cdot x_i \right), \quad \text{where } \sigma_j \text{ is}$$

$$\sigma_j(s) = \text{logsig}(s) = \frac{1}{1 + e^{-s}}, \quad \dots \text{ or perhaps....}$$

$$\sigma_j(s) = \text{linsig}(s) = \begin{cases} 0, & \text{if } x \leq 0 \\ x, & \text{if } 0 < x \leq 1 \\ 1, & \text{if } 1 < x \end{cases}$$

# Optical Neural Networks

“Think” executes very fast on simple optical hardware.

Parallel attenuation of light signals passing through synaptic medium

Intensity-level or pulse-coded analog optical signals

$$y_j = \sigma_j \left( \sum_i w_{ji} g x_i \right)$$

Op-Amps or nonlinear materials (VO<sub>2</sub>)

Optical Intensity Parallel Summation (Cylindrical Lenses, Holographic Media)

Synaptic Media (35 mm film, SLM)

# Optical Neural Networks

“Learn” is slower and requires more complex and costly hardware.

- Iteratively adjust synaptic weights toward values that minimize errors.
- Performed by a Learning Algorithm, such as the well-known Backpropagation of Errors
- Analogous to long-term memory in biology.

$$\Delta W = -\eta x \times y \times (1 - y) \times (y - T)$$

Multiply a signal by a another signal: higher-order synapses, more hardware

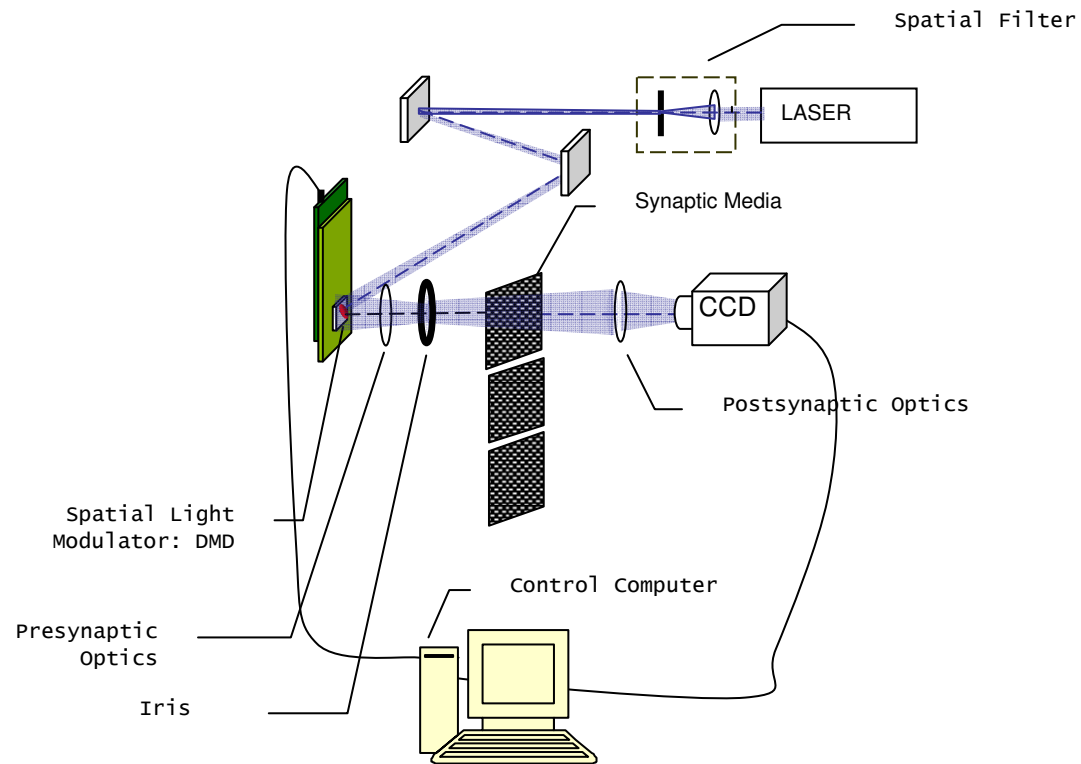
Changing Synaptic Weights is a slow process: milliseconds (SLM) to hours (film)

# Fixed-Weight Learning Neural Networks

## FWL-NN

- Most Optical NNs use standard “von Neumann” CPU-based computations to perform learning.
- We believe the learning speed issue is major reason for the lack of common use of Optical NNs.
- FWL-NNs are our solution to this problem.
  - “Learning” takes place at “thinking” speeds.
  - First order synapses
  - Don’t ever need to change weights
  - Analogous to short-term *working memory* in biology.
  - Adaptive

# Optical Neural Network Laboratory Test Apparatus



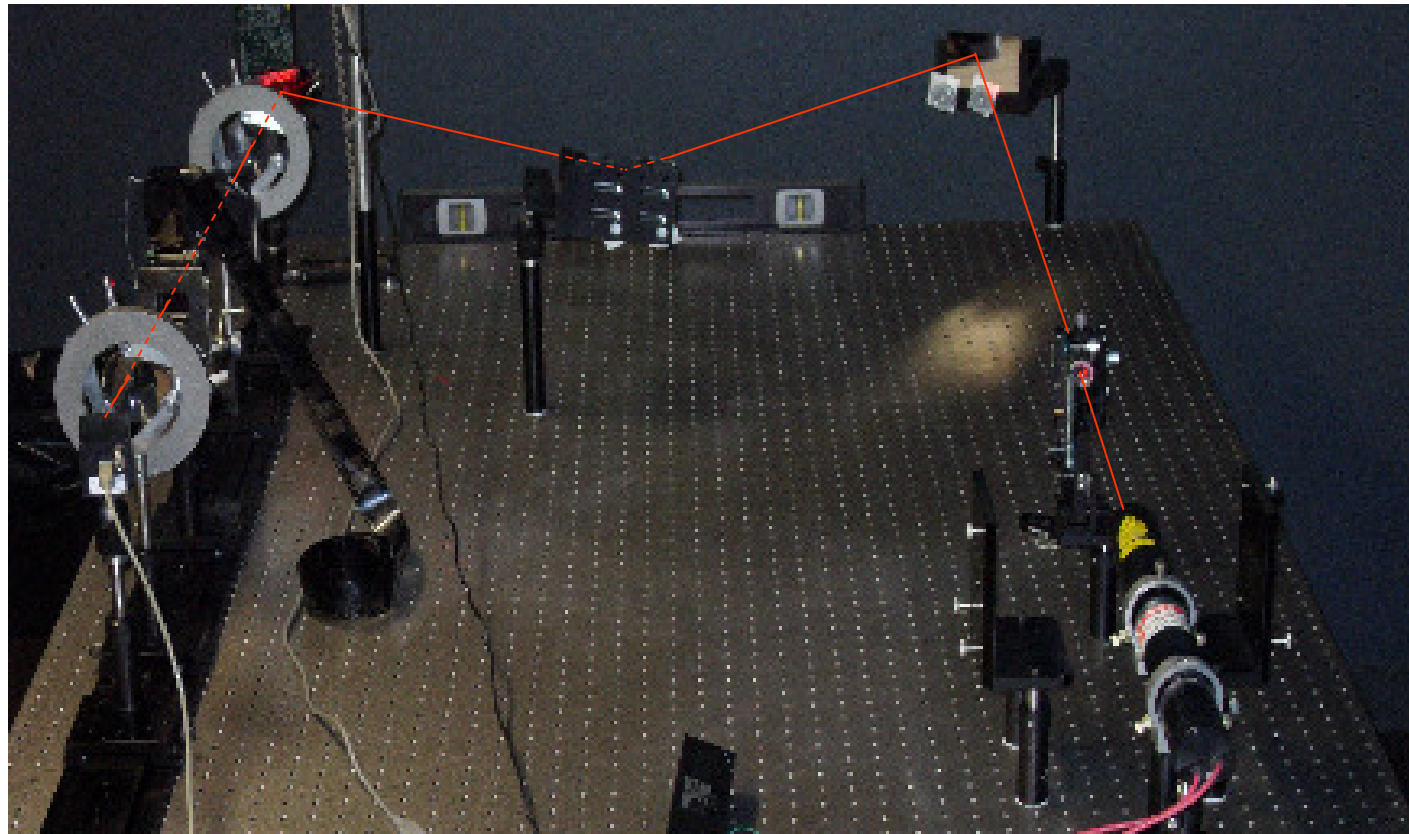
# Optical Neural Network

## Laboratory Test Apparatus

- Designed for flexibility, not speed.
- Digital Micromirror Device (DMD) for electronic-to-optical signal
- Pulse based - stochastic (SP) or duty cycle (PWM)
- Typical timing: 1 exemplar cycle  $\rightarrow$  4 phases  $\rightarrow$   $4 \times 256$  pulses
- 35mm film for Synaptic Media.
  - Opaque/Clear pixel density encoding of synaptic medium.
- CCD Camera for optical-to-electronic signal conversion
- Software-based summation and squashing.
- Synchronous operation of neurons.
- Intensity Calibration every cycle or every phase.
- Software-based distortion corrections.



# Optical Neural Network Laboratory Test Apparatus



# Fixed-Weight Learning Neural Networks

- Standard neural networks learn new function mappings by the changing of their synaptic weights. However, the FWL-NNs learn new mappings by dynamically changing recurrent neural signals.
- The (fixed) synaptic weights of the FWL-NN implement learning "algorithm" which adjusts the recurrent signals toward their proper values.
- That is, instead of encoding a particular mapping, the synaptic weights of a FWL-NN encode how to learn any mapping.

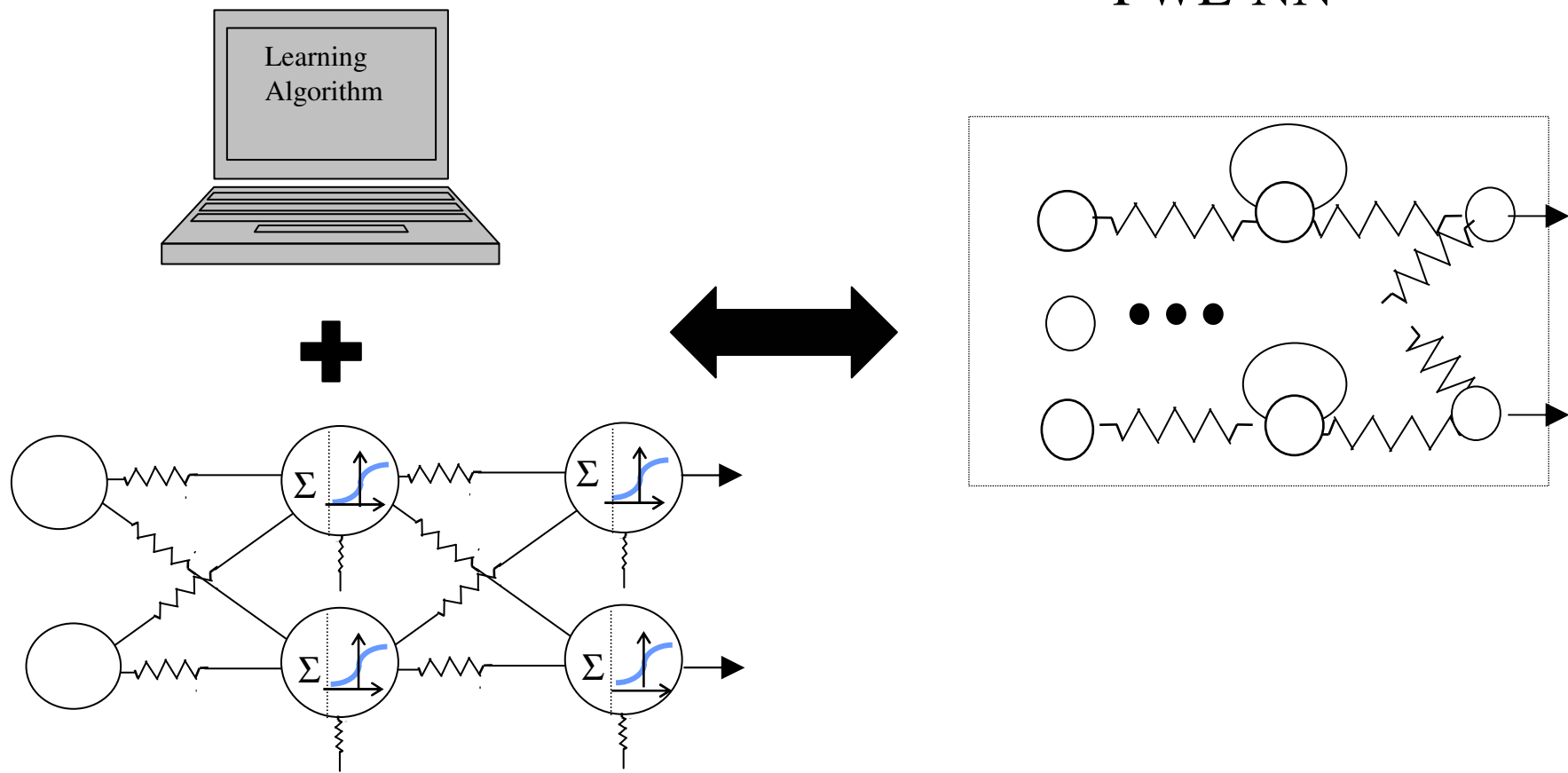
## FWL-NN: Some History

- Not a new idea, application to Optical NN is new.
- Also called “Adaptive Behavior with Fixed Weights” and/or “Accommodative Neural Networks”
- Cotter, Conwell, Prokhorov, Feldkamp, Hochreiter, Younger, Redd, Lo

# Fixed-Weight Learning Theorem

- Cotter and Conwell 1990 – 1991
- For any (changing weight) neural network and its attendant learning algorithm, there exists a FWL-NN that can learn the same functional mappings without changing any of its synaptic weights.
- Existence theorem
- Based on Universal Approximation.
- Must be recurrent. Usually larger than equivalent non-fixed-weight NN.
- Analogous to short-term *working memory* in biology.

# FWL NN is Equivalent to a standard Neural Network and Learning Algorithm



## Generating FWL-NNs: The sub-network method.

1. Decide the equivalent FFNN topology
2. Determine the function mapping for the learning algorithm (*planapse* or the teacher equation).
3. Train a *planapse* sub-network to learn the *planapse* equation.
4. Determine the *tranapse* (sometimes called the model) formula.
5. Train a *tranapse* sub-network to learn the *tranapse* formula.
6. Replace each FFNN synapse with the appropriate *planapse*-*tranapse* pair. Provide new connections as necessary.
7. Test/Validate the FWL-NN.

## Method for Generating FWL-NNs: sub-network training.

- Scaling considerations.
  - Unipolar signals.
  - Limited range synaptic weights
  - Limited range signals.
- Signal propagation timing: cycles/phases/pulses
- Train on random inputs over wide range.
- Alternate squashing can simplify!
- Large training set (>25,000) many epochs (>100,000) on MATLAB **traingdx.m**

# Generating FWL-NNs: Alternatives

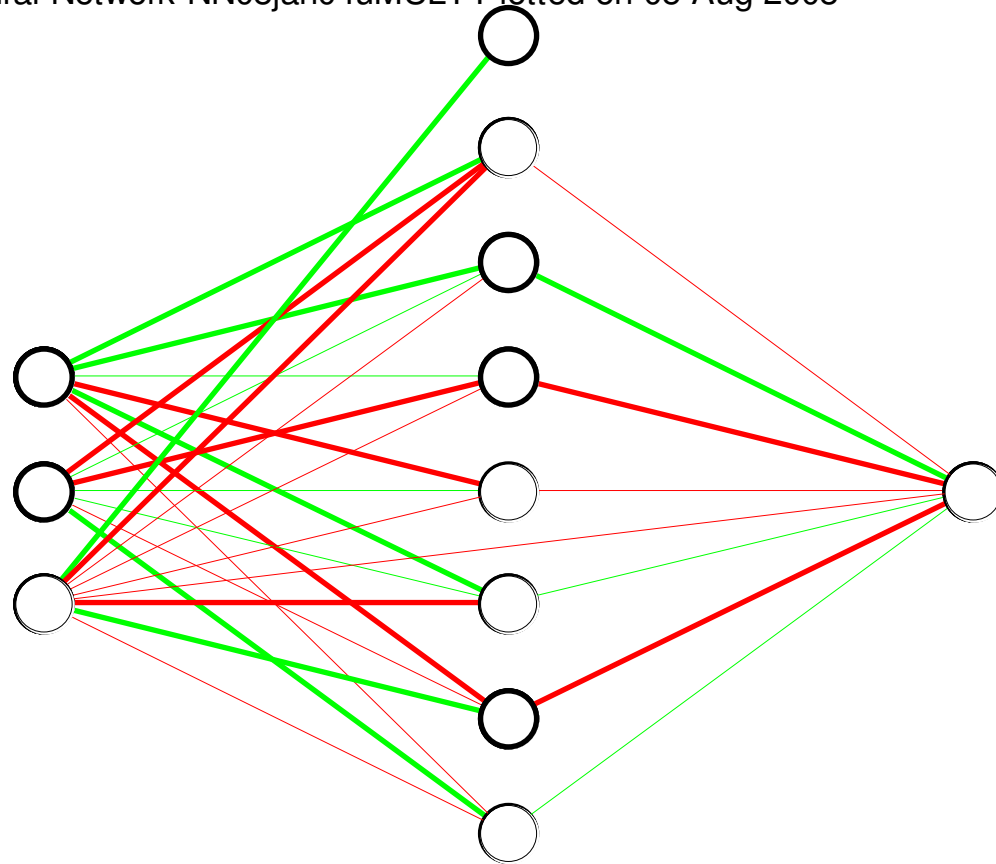
- **Analytical:** same as sub-network method, but generate the sub-networks by analytically design instead of training.
  - We used it on the BooLean network.
- **Meta-learning:** optimize the (initially random) synaptic weights of a FWL-NN to be an efficient learner of function mappings from a given set of mappings.
  - Requires optimizing over many examples of many mappings from the given set of mappings.
- Can combine methods.



# Experimental Results

- Several networks were created. Results from 3 reported here.
- uMULT – Unsigned Multiplication. Building block.
- PlanTran – A single planapse/tranapse pair.
- BooLean – Can learn linearly separable Boolean functions

Neural Network NN08jan04uMULT Plotted on 05-Aug-2008

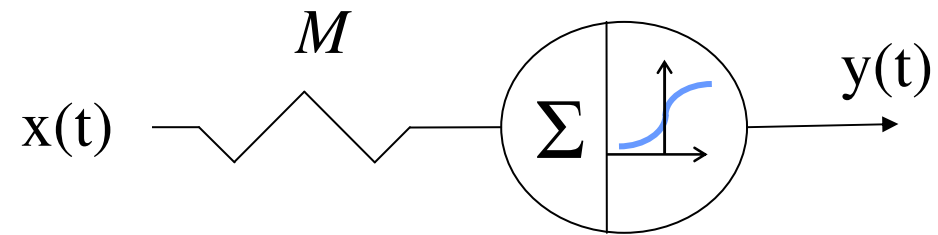


## uMULT: Unsigned Multiplication Training and Simulation Results

Large training set (25,000) many epochs (100,000) on MATLAB `traingdx.m`

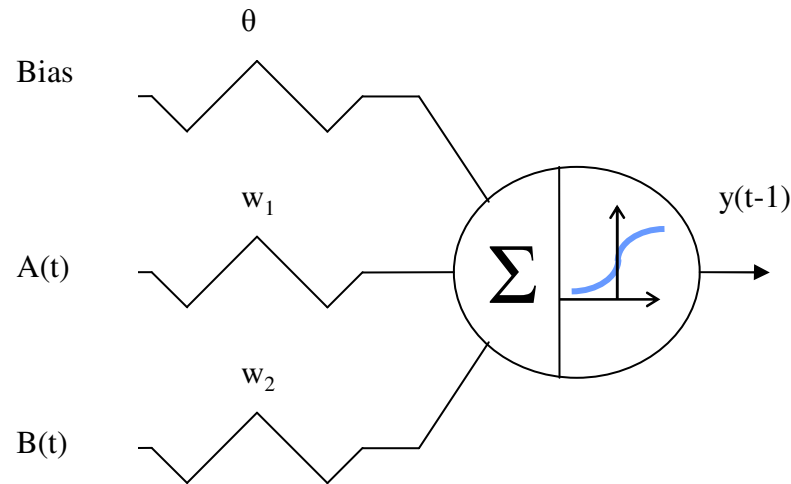
<b>Hidden Layer Size</b>	<b>MSE</b>	<b>Sig Bits</b>
3	$6.5003 \times 10^{-4}$	5.3
4	$3.6876 \times 10^{-4}$	5.7
5	$3.0794 \times 10^{-4}$	5.8
6	$3.1636 \times 10^{-5}$	7.5
7	$2.1617 \times 10^{-5}$	7.7
8	$4.0069 \times 10^{-5}$	7.3
9	$5.4367 \times 10^{-5}$	7.1

# PlanTran FWL-NN: Equivalent FFNN



# Feed-Forward Network that can learn Linearly Separable Boolean Functions

(a single neuron)



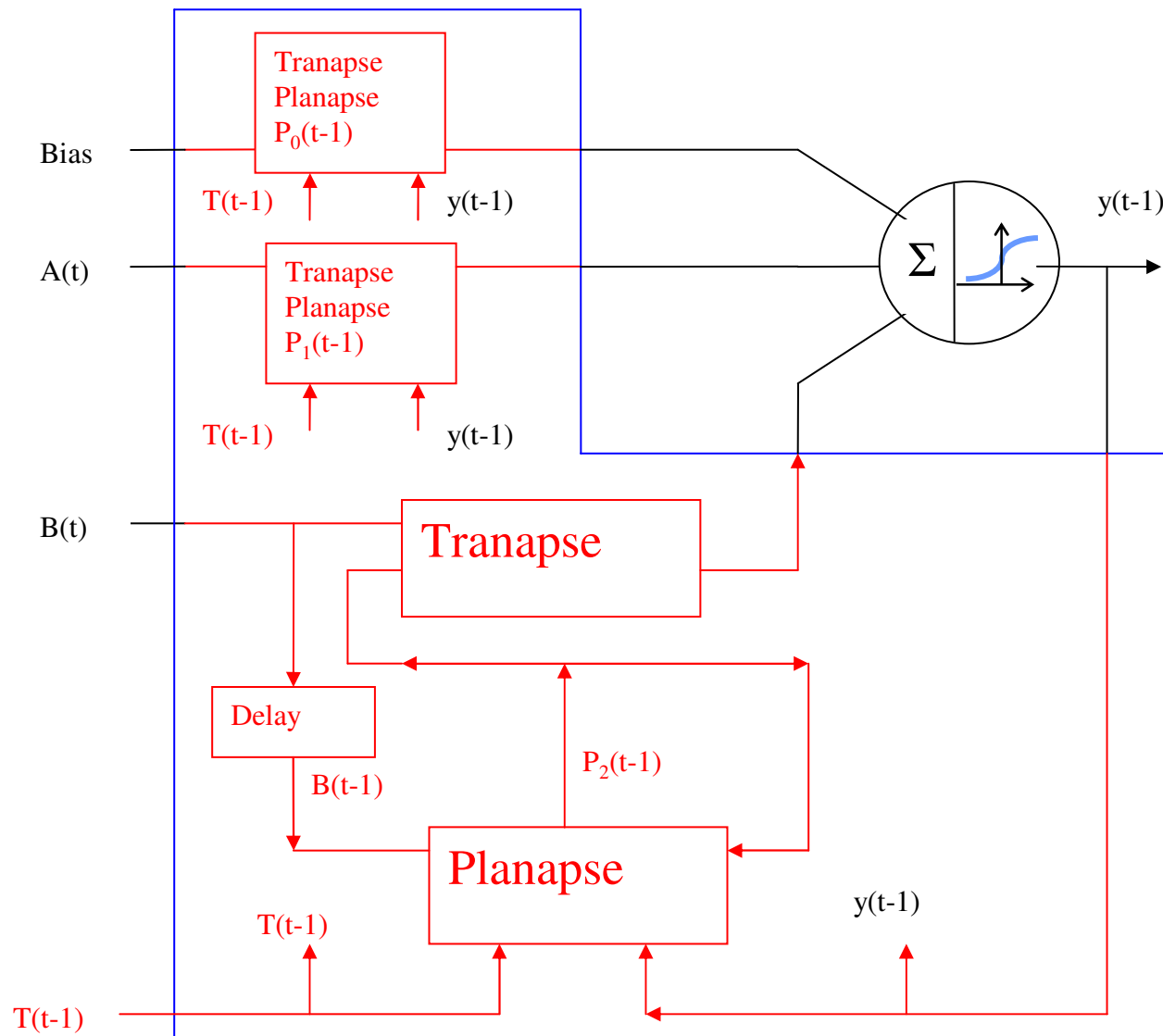
Weights for Various Functions

Function	$\theta$	$w_1$	$w_2$
AND	-1	$2/3$	$2/3$
OR	-1/2	1	1
NAND	1	$-2/3$	$-2/3$
TRUE	1	0	0

...

# Recurrent Fixed-Weight-Learning Separable Boolean Neural Network

One synapse of the above network has been expanded into its sub-network(s); weights replaced with potencies.



# Generating Test Data for FWL-NNs.

Algorithm to generate training/test data for a FWL-NN:

```
repeat Number-of-Mappings times
  Randomly select a mapping  $M$  from a set S.
  repeat Number-of-Exemplars-per-Mapping times
    Generate a random input vector  $\mathbf{x}$ 
    Use  $\mathbf{x}$  with mapping  $M$  generate target vector  $\mathbf{T}$ 
    Output training pair  $(\mathbf{x}, \mathbf{T})$ 
  end repeat
end repeat
```

# Generating Test Data for FWL-NNs

## Data Sets

- For *PlanTran*, set  $S$  is all function mappings,  
 $T = \text{logsig}(M \cdot x)$ ,  $-4 \leq M \leq +4$  where the real index  $M$  specifies the particular mapping.
- For *BooLean*,  $S$  is the set of all 14 of the Linearly Separable Boolean functions with two logical arguments and one logical result. The integer index  $M$  specifies the particular mapping.



# Boolean Problem Set Truth Table

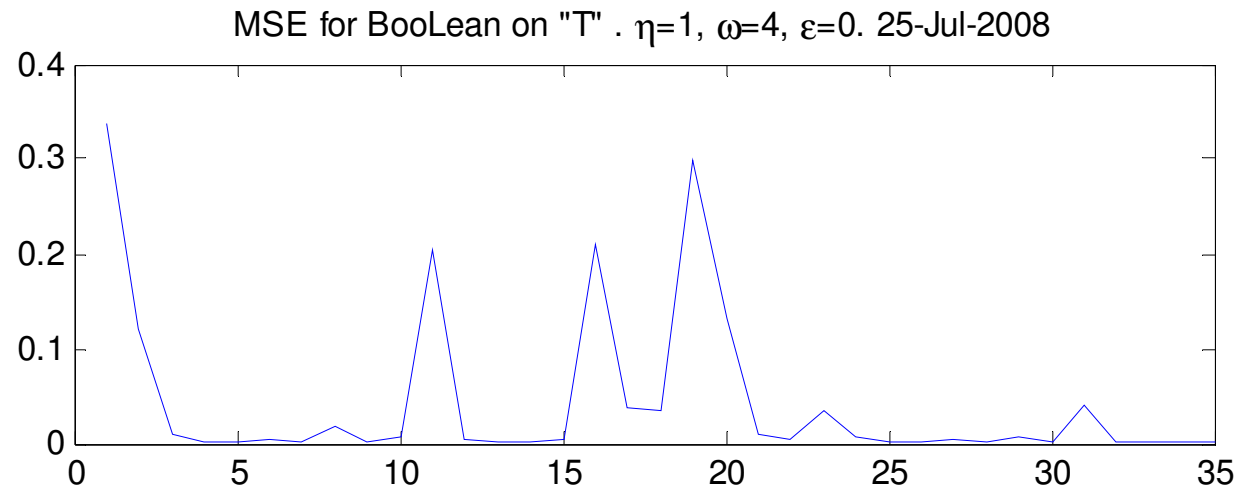
Index <i>M</i>	AB 00	AB 01	AB 10	AB 11	Function Mapping Name	Notes
1	0	0	0	0	ALWAYS FALSE	
2	0	0	0	1	AND	
3	0	0	1	0	A AND NOT (B)	
4	0	0	1	1	A	ignore B
5	0	1	0	0	NOT (A) AND B	
6	0	1	0	1	B	ignore A
7	0	1	1	0	XOR	Disallowed
8	0	1	1	1	OR	
9	1	0	0	0	NOR	
10	1	0	0	1	NOT XOR	Disallowed
11	1	0	1	0	NOT (B)	ignore A
12	1	0	1	1	A OR NOT (B)	
13	1	1	0	0	NOT (A)	ignore B
14	1	1	0	1	NOT (A) OR B	
15	1	1	1	0	NAND	
16	1	1	1	1	ALWAYS TRUE	

## Experimental Results on Optical Hardware.

L- Number of Layers, N—number of neurons, W---number of synapses,  $\phi$  - Phases per Exemplar, Pulses – Number of pulse timeslots in one Phase. Learn – Number of Exemplars required to learn mapping (for FWL-NN) , MSE – mean squared error (after learning), SigBits – Number of Significant Bits

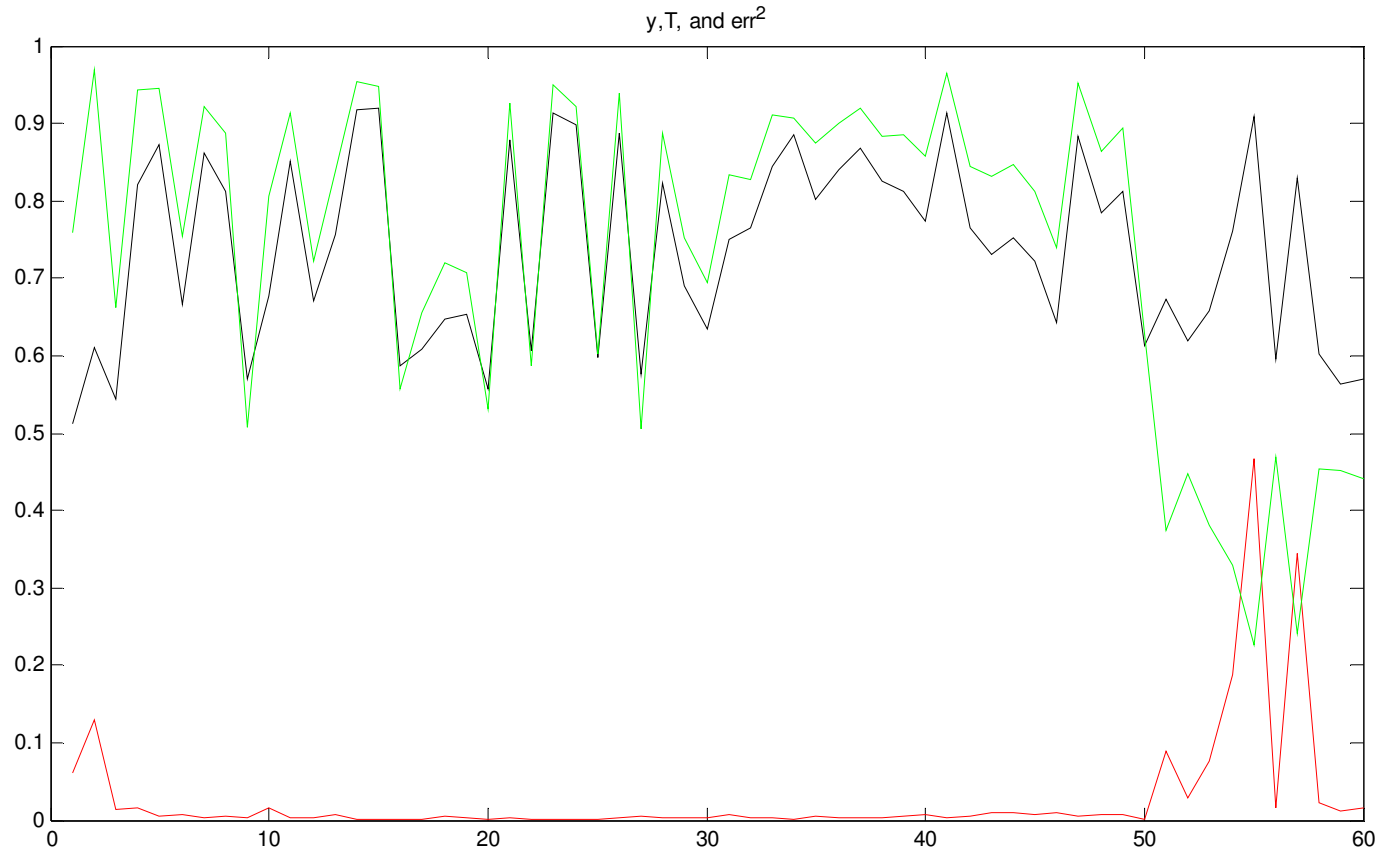
NN	L	N	W	$\phi$	Pulses	Learn	MSE	SigBits	Notes
uMULT	3	13	30	2	128	n/a	0.0013	~6	
PlanTran	4	29	100	6	256	11	0.0083	~4	
BooLean	5	33	56	4	256	21	0.0076	~4	M=16

# FWL-NN Boolean Hardware-Based Learning Curve



# FWL-NN PlanTran

## Hardware-Based Learning Curve



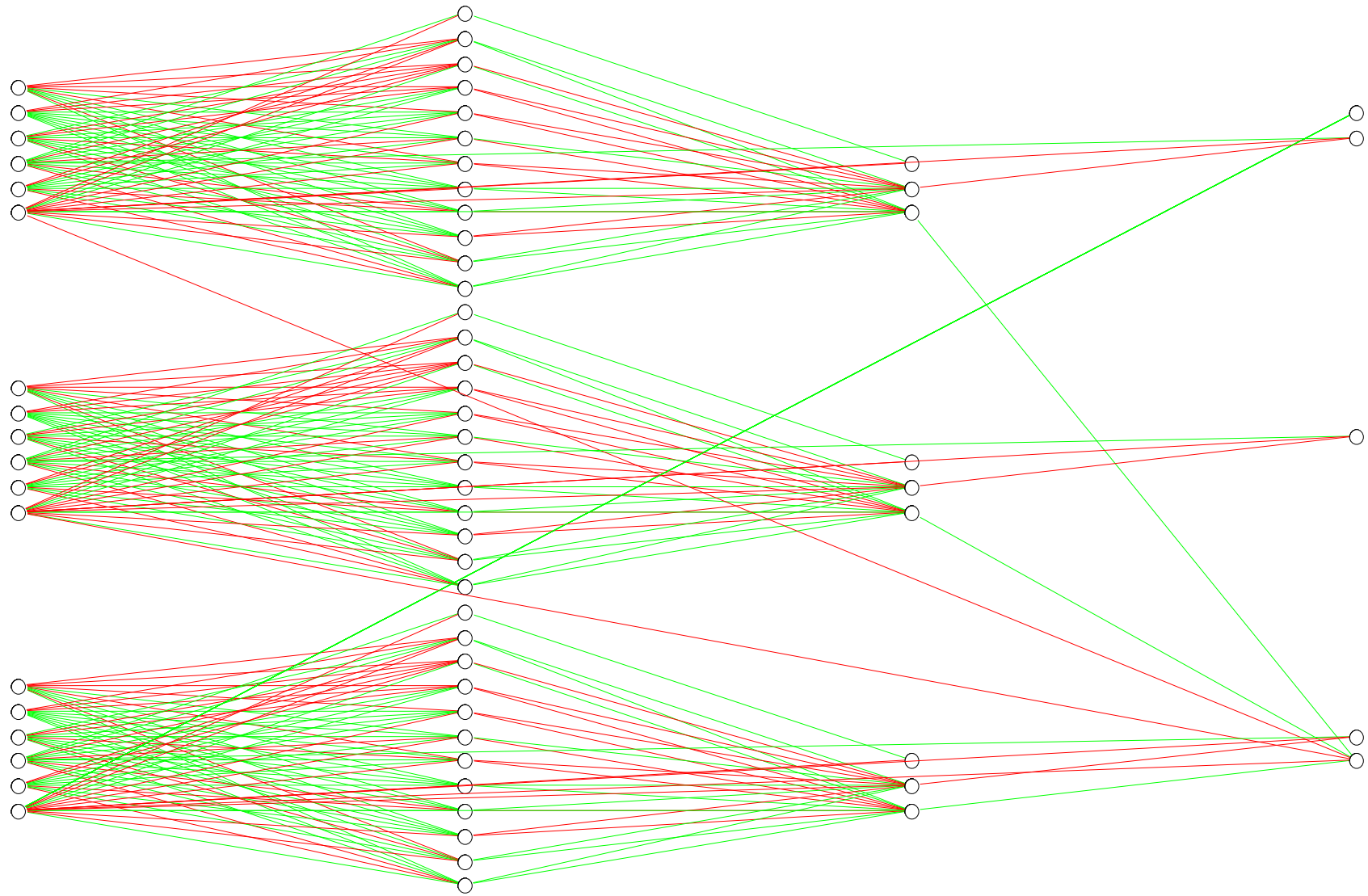
# Lessons Learned: FWL-NN

- It works!
  - Sub-network and analytic approaches are valid.
- Sufficient signal resolution (significant bits) is was hard to achieve.
- Synaptic weight resolution was sufficient with 35mm film ~16 bits. (However, repeatability was a problem.)
- “Opaque” areas on a slide aren’t.
- Both pulse encoding schemes have methods of trading-off other resources for more signal resolution.
  - Stochastic pulse: More bits are slower.
  - Intensity: More bits require more neurons.

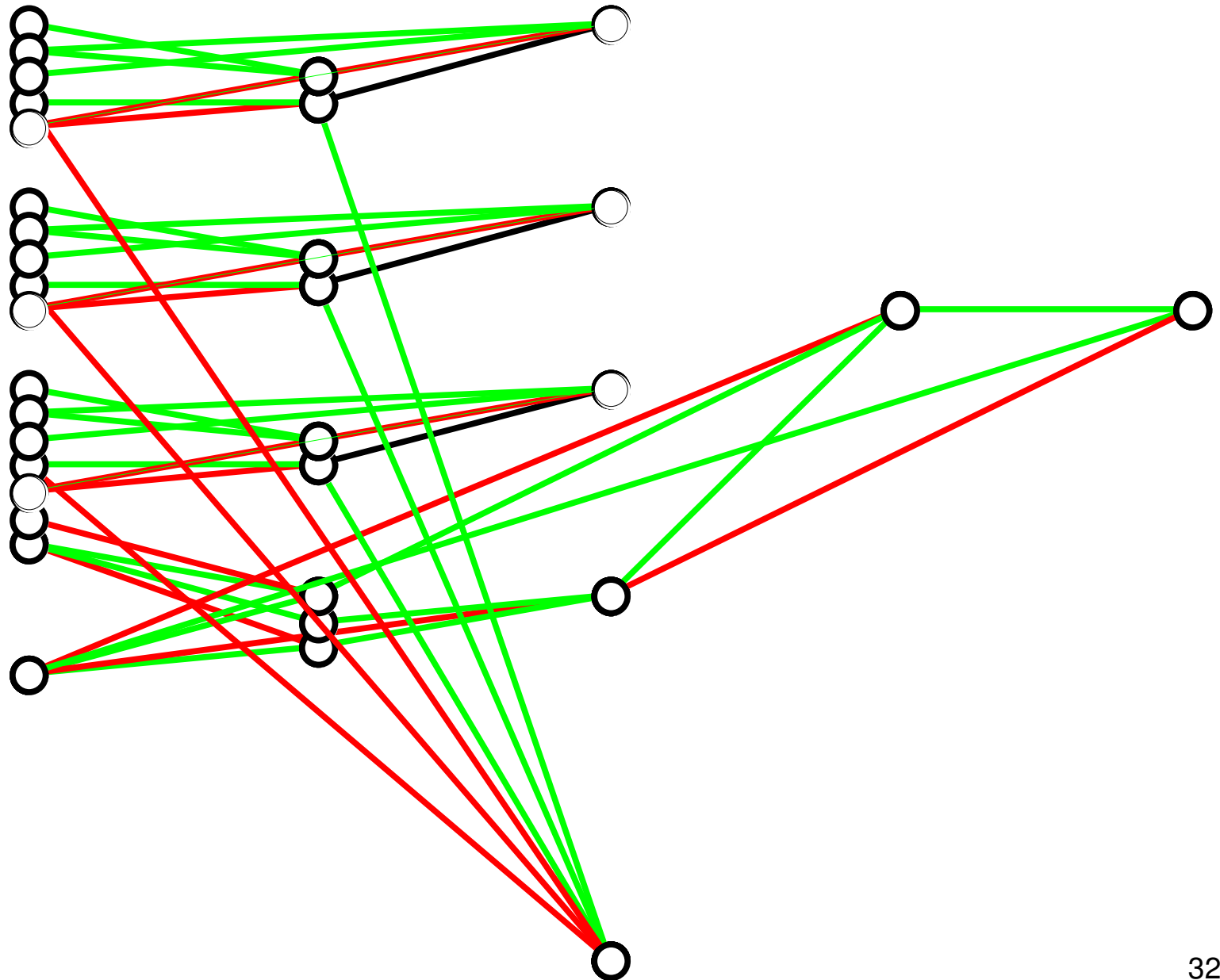
# Future Work

- Faster Hardware
  - More functions moved to optical path.
- Expanded Neuralware
  - Larger Networks
  - FWL-NNs that are equivalent to 3 layer FFNNs (Universal Approximation)
  - Improved Learning
    - Reduce overhead
    - Off-line or Batch learning.
- Applications
  - Real-World problems: Speech, Vision, Data Mining, Adaptive Robotics
  - APIs
  - Promotion

Neural Network NN07feb12Recurron Plotted on 05-Aug-2008

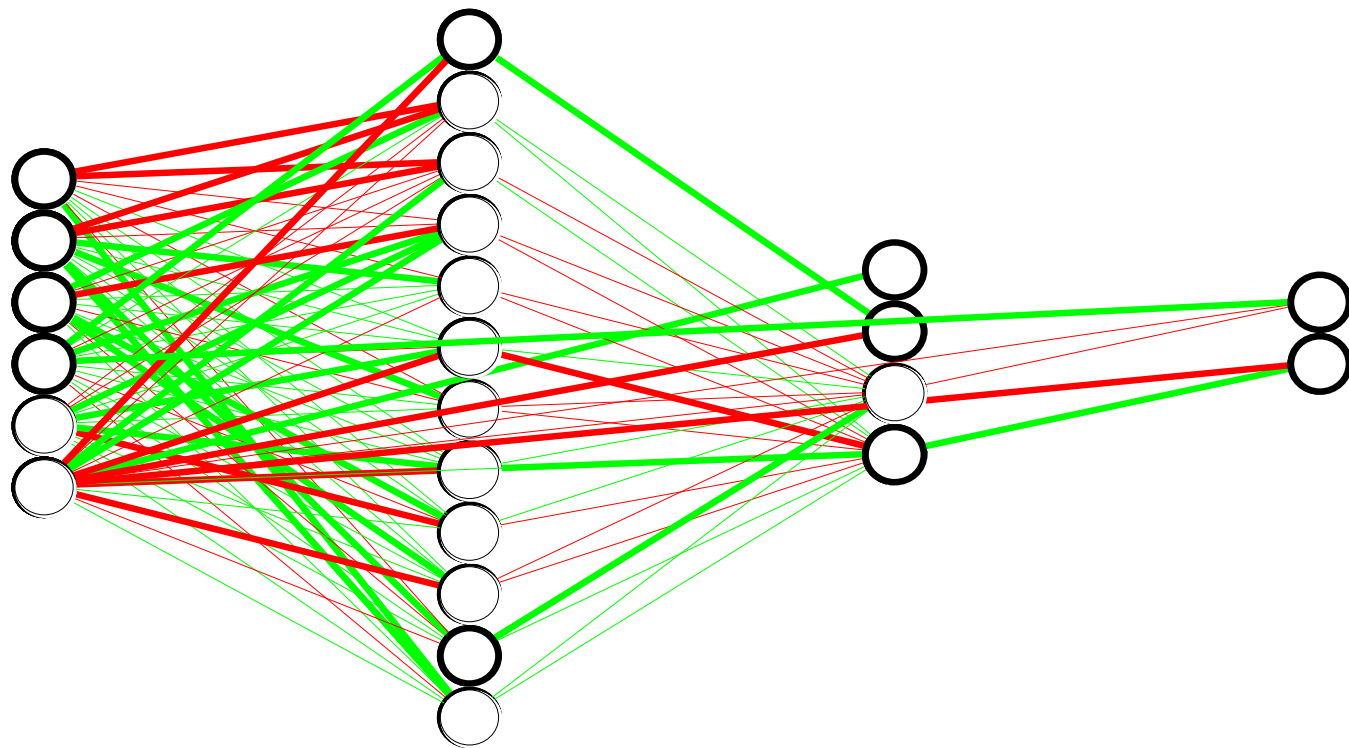


Neural Network NN07aug31Boolean Plotted on 05-Aug-2008

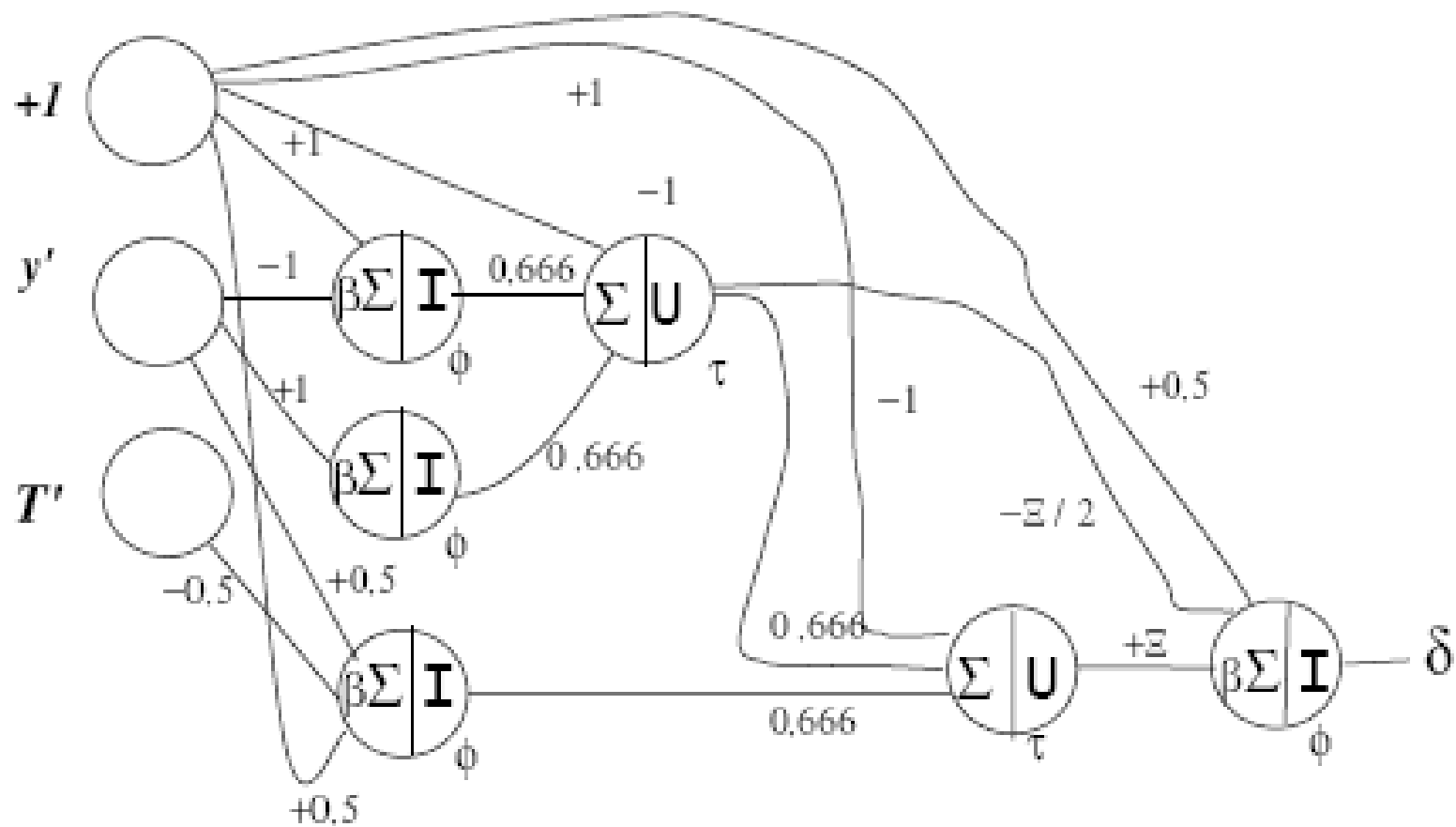




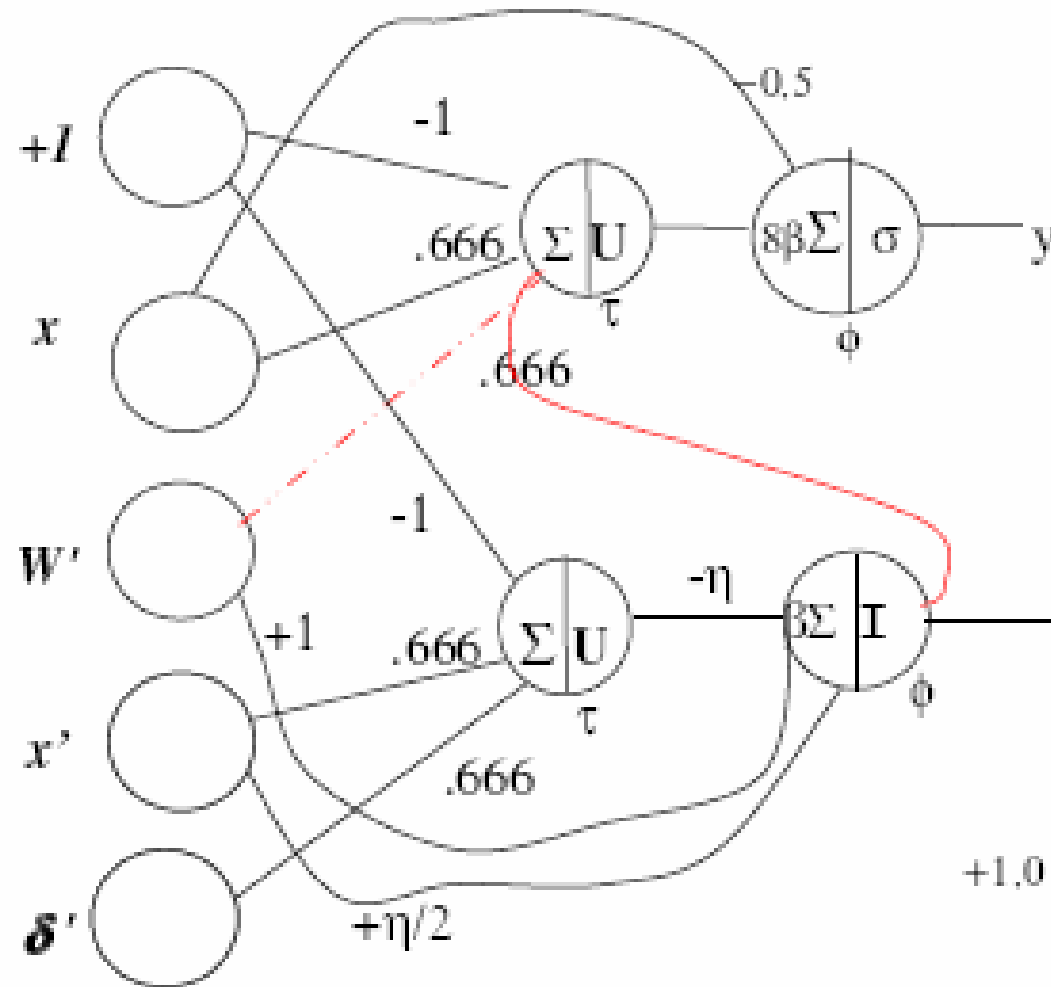
Neural Network NN07feb21TranPlan Plotted on 08-Aug-2008



# STOCHASTIC PULSE PLANAPSE



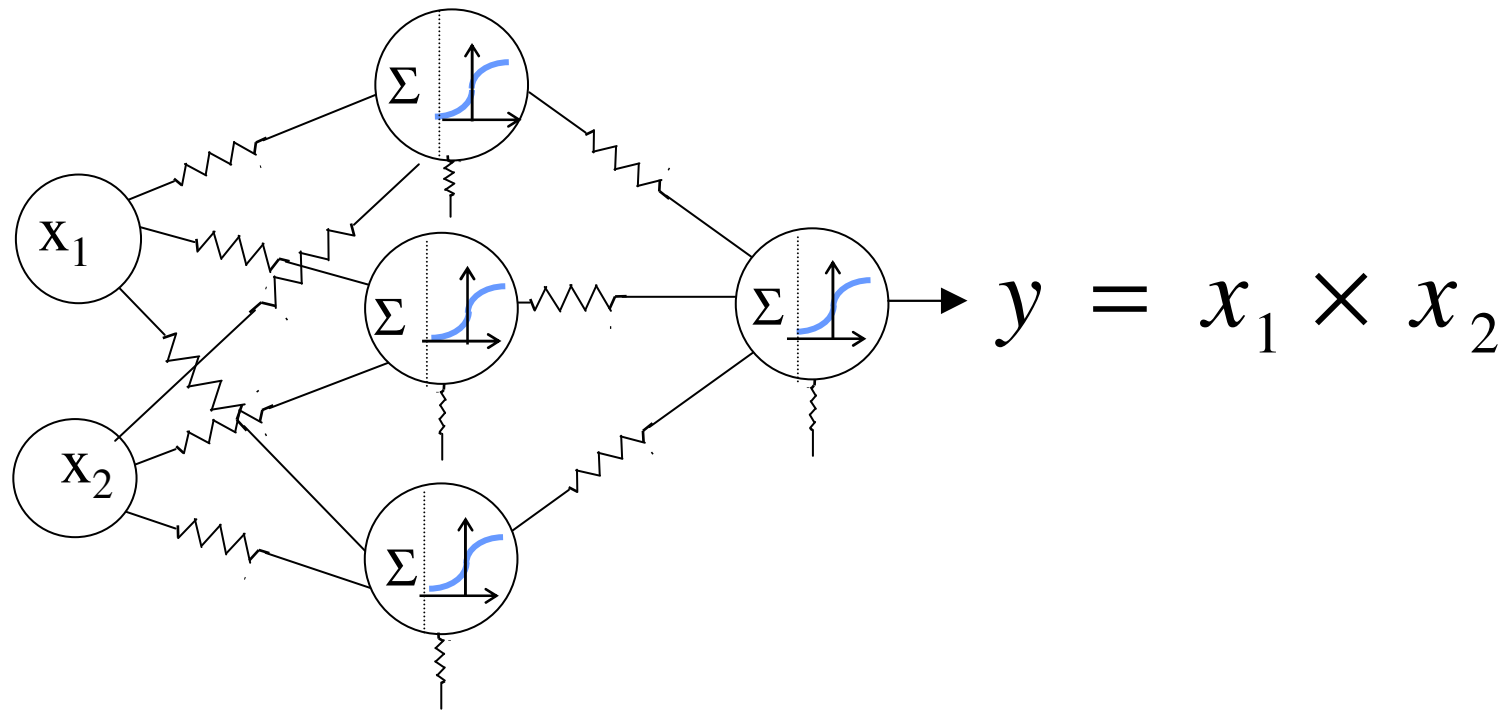
# STOCHASTIC PULSE TRANAPSE



# Meta-Learning

- Alternative method of deriving FWL-NNs.
- Optimize the (initially randomized) synaptic weights of a FWL-NN to be an efficient learner of function mappings from a given set of mappings.
- Requires optimizing over many examples of many mappings from the given set of mappings.
- Slow to converge, but has derived FWL-NNs that are very efficient learners.

# uMULT: Unsigned Multiplication



# Stochastic Pulse Neural Networks

- A stochastic bit-stream is a sequence of equally weighted bits where the probability of each being set is proportional to the value that the bit-stream represents.

Maps rational number  $x$  into random variable  $A$  with generating probability:

$$p = P(A=1) = x.$$

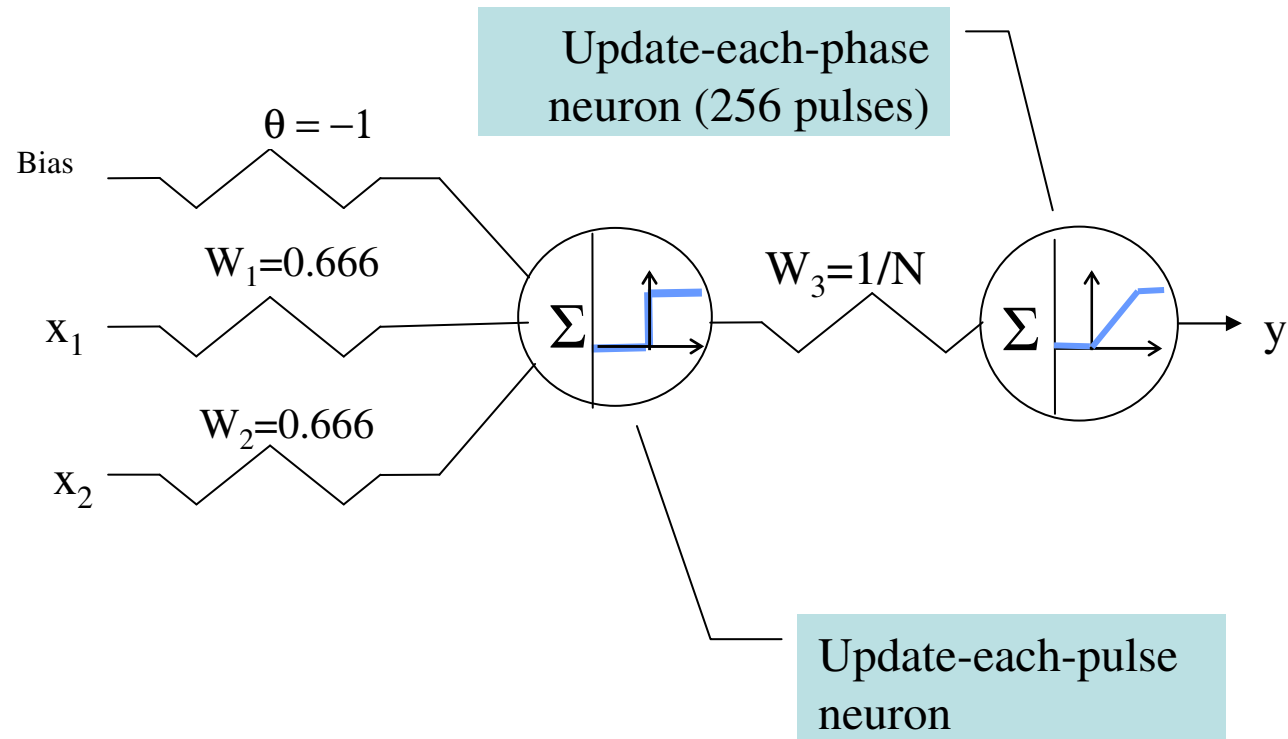
For a signal bitstream of length  $N$ , the expected number of bits that are *on* is :  $p \times N$

For two such streams,  $A$  and  $B$ . The probability of a given bit position being set for both streams is :  $P(A=1) \wedge P(B=1) \leftrightarrow p_A \times p_B = x_A \times x_B$

- That is, multiplication can be done by an AND operation!
- We do the AND with a small squash-each-pulse neural network.

# Stochastic Pulse Multiplication NN

$$y = x_1 \times x_2$$



# Lessons Learned: Opto-Electronics

- Practical:
  - Windows is not real time. This causes problems. S-l-o-w
  - MATLAB Rules!
  - Intensity must be tightly controlled (drift).
- “Opaque” areas on a slide aren’t.
- Slide gray areas are not repeatable enough, even when on/off pixel encoding is used.
  - LCD-based Spatial Light Modulators
  - Laser-cut masks
- Stochastic Pulse Coding works well. It greatly reduces FWL-NN sizes.
- DMD works well, except slow compared to Laser Diodes.
- Software-based alignment and distortion correction works well.
- Diffraction may limit spatial resolution in free-space optics.
- Random noise was not much of a problem.
  - Windows is not real time. This causes problems. S-l-o-w



$$M(t) = M(t-1) - \eta x(t-1) \times y(t-1) \times [1 - y(t-1)] \times [y(t-1) - T(t-1)]$$